

IAWG Meeting Minutes 2013-10-10

Kantara Initiative Identity Assurance WG Teleconference

[Date and Time](#) | [Agenda](#) | [Attendees](#) | [Minutes Approval](#) | [Action Item Review](#) | [Staff Updates](#) | [Discussion](#) | [AOB](#) | [Attachments](#) | [Next Meeting](#)

 Meeting Minutes - IAWG approval required

Date and Time

- **Date:** Thursday, 10 October 2013
- **Time:** 07:00 PT | 10:00 ET | 14:00 UTC ([time chart](#))
- United States Toll [+1 \(805\) 309-2350](#)
Alternate Toll [+1 \(714\) 551-9842](#)
Skype: [+99051000000481](#)
 - Conference ID: 613-2898
- [International Dial-In Numbers](#)

Agenda

1. Administration:
 - a. Roll Call
 - b. Agenda Confirmation
 - c. Minutes approval: [IAWG Meeting Minutes 2013-10-03](#)
 - d. Action Item Review
 - e. Staff reports and updates
 - f. LC reports and updates
 - g. Call for Tweet-worthy items to feed (@KantaraNews or #kantara)
2. Discussion
 - a. Endorsement of Draft IAWG Charter
 - b. Continued review latest draft material on 800-63-2 v SAC mapping
 - c. Continued discussion about ALx_CO_ISM#090 i) frequency of compliance audits and ii) Internal v External v Independent audit and rationale
 - d. Volunteers for Resilient Trust Network sub-group
 - e. Feedback requested for initial draft of Modular IAF document
 - f. If time permits - discussion of flight path of IAF v4.0 timelines/expectations
3. AOB
 - a.
4. Adjourn

Attendees

[Link to IAWG Roster](#)

As of 1 July 2013, quorum is 5 of 9

 Meeting achieved quorum

Voting

- Myisha Frazier-McElveen (C)
- Rich Furr (V-C)
- Andrew Hughes (S)
- Scott Shorter
- Richard Wilsher
- Cathy Tilton

Non-Voting

- Peter Alterman
- Tricia Hammar
- Ken Dagg

Staff

- Joni Brennan

Apologies

- Matt Thompson

Notes & Minutes

Administration

Minutes Approval

[IAWG Meeting Minutes 2013-10-03](#)

Motion to approve minutes of 2013-October-3: Rich Furr

Seconded: Scott Shorter

Discussion: None

Motion Passed

Action Item Review

See the [Action Items Log](#) wiki page

Staff Updates

- [Director's Corner](#) Link
- [Event Radar 2013 and 2014](#) Link

LC Updates

- No meeting this period

Participant updates

- **The RTN Sub-Group call for volunteers and sub-group formation**

Discussion

Endorsement of Draft IAWG Charter

Motion to Endorse Draft IAWG Charter for forwarding to LC for review and approval: Rich Furr

Seconded: Scott Shorter

Discussion: None

Motion passed

Discussion of 800-63-2 mapping to KI IAF v3

- Since 800-63-2 has been formally published, there have been significant changes which has resulted in new criteria
- Overview of the new criteria - request for IAWG to review to confirm correct mappings
 - Look at the in-person proofing at AL3 & AL4 and the permissibility of remote proofing (current relationship) for AL4
 - Antecedent Identity data for ID Proofing - not in 800-63 -> it's in supplemental guidance for Federal Bridge CP; this is accepted by FICAM and FBCA.
 - This might now be covered in 800-63-2 5.3.2.3 Customer Identification Programs
 - This might be a good candidate for National Profiles - to specify Nation-specific processes
 - Enterprise antecedent data (this is actually Affiliation - this is how Verizon and SAFE BioPharma do it today): relationship between employee and employer using KI Approved identity provider for I-9 form data - the 'breeder documents' for this are essentially the same docs as used for in-person verification
 - The other kind of antecedent data (this is online antecedent data): is built and maintained by Identity Provider (Equifax, Lexis-Nexis, etc)
 - These two types of antecedent data are not accepted by US DoD for AL4 - they insist that AL4 requires in-person
 - Suggestion was made that 800-63-1 opened the opportunity to review the qualifications of the "Enterprise Proofing Component Entities" - this might allay the concerns raised
- Question: does IAWG need more review time before sending out to Public Review? Or send it out for Review now then do further IAWG review during the 45 day period?
 - **ACTION: Consensus: Send to 45 day Public Review as soon as they are ready.**
- Question: has the new version of SAC been aligned with the new terms in the Glossary?
 - Not yet. **ACTION: Ken Dagg will review for alignment.**

[Continued discussion about ALx_CO_ISM#090](#)

i) frequency of compliance audits

- First and fourth year audits should be external/independent audits
- second and third year audits are "Core plus Half SAC" in a way to cover the whole SAC between the two

ii) Internal v External v Independent audit and rationale

- Section 5 of the AAS
 - indicates that a certified entity could submit a report of Internal Audit to the ARB for the second and third year partial audits
 - the ARB is the deliberative body and could make a determination
 - if so, this would significantly reduce the cost to Approved entities (each external audit costs ~\$100,000)
- Another viewpoint: perhaps a robust process that recognizes other Approval schemes would help solve this
- Suggestion that the scope of audit for the multiple Certification schemes is similar enough that the question should be to use findings to satisfy multiple Certification schemes
- FedPKI Policy Authority has discussed this before
 - CA Operators made the case that full annual PKI audits were too expensive (2006-2007 timeframe)
 - FedPKI has adopted a policy of incremental assessments with triennial full assessment - similar to the current thinking of Kantara
 - The annual assessment - still done by external/independent auditor (needs to be fact checked)
- Would be a good idea to get Deb Gallagher's opinion on the FICAM requirement/opinion
- FICAM AL3 requires third party assessments
 - But this might work because FICAM only requires 'comparability' not the degree of specificity in the current Kantara SAC
- **ACTION: Joni to locate and share the document that describes the annual SAC audit coverage requirement - was created in ARB**
- Resolution: the original motion to remove the CO_ISM#90 was made in a prior meeting. That decision still stands.
- **ACTION: the AAS needs an updating to reflect current thinking on annual criteria audit coverage**

All other agenda items to be carried forward to next meeting due to expiry of meeting time.

AOB

Attachments

Next Meeting

- **Date:** Thursday, 17 October 2013
- **Time:** 07:00 PT | 10:00 ET | 15:00 UTC ([time chart](#))
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